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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/088,738	07/23/2002	Francis Humblot	33808F172	4589

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WASHINGTON, DC 20036

EXAMINER

SINGH, PREM C

ART UNIT	PAPER NUMBER
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1764

DATE MAILED: 09/08/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No. 10/088,738	Applicant(s) HUMBLLOT ET AL.	
Examiner Prem C. Singh	Art Unit 1764	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 23 July 2002.
- 2a) ☐ This action is **FINAL**.
- 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☒ All
 - b) ☐ Some
 - * c) ☐ None of:
 - 1. ☐ Certified copies of the priority documents have been received.
 - 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 - 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

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DETAILED ACTION

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1-12, and 19-21 are rejected under 35 U.S.C. 103(a) as unpatentable over Zimmermann et al (US Patent 5,922,192) in view of Reed et al (US Patent 5,656,150). The Zimmermann reference discloses a method for treating the heat exchanger surfaces in reactors and/or heat exchangers of installations for converting hydrocarbons and other organic compounds at high temperatures in the gaseous phase (Column 3, lines 20-28). The metallic surfaces coming in to contact with the organic substances are treated at a temperature of 300 to 1000°C, over a period of 0.5 to 12 hours with a mixture of silicon and sulfur containing product and a dry gas flow which is

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inert with respect to silicon- and sulfur-containing product (Column 3, lines 20-29).

Zimmermann's prior art describes each and every aspect of the applicant's claim 1 except that the applicant's treatment is done in presence of steam as carrier gas instead of using inert gas as disclosed by Zimmermann. Zimmermann discloses that a carrier gas other than the inert gas for the system can also be used (Column 4, line 64).

Zimmermann explores the possibility of using steam as a carrier gas as disclosed in his example 6 and concludes that steam is not suitable for long lasting suppression of coking on materials pretreated with trimethylsilylmethyl mercaptan (Column 7, lines 45-53). Reed reference teaches a novel method for treating the radiant tubes of a fired pyrolysis heater with an antifoulant composition for inhibiting the formation and deposition of coke thereon (Abstract). Reed's claim #5 states that the diluent fluid is steam (Column 8 lines 51-52).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the process of the Zimmermann reference by using steam as suggested by Reed because of its easy availability especially in the steam cracking units.

Zimmermann reference uses hydrogen, methane, nitrogen, and steam separately as a carrier gas. Although Zimmermann did not use steam and an inert together, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Zimmermann's invention to add a step of blending steam and an inert gas as a carrier gas. The purpose of both (steam and inert gas) is as a diluent or a carrier gas (Reed: Column 2, line 30; Zimmermann: column 4, line 64). Since steam and

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inert gas have been proved to be functionally equivalent, blending of the two had a reasonable expectation of success by combining the teachings of Zimmerman and Reed. Zimmermann discloses in his claim #14 wherein the silicon- and sulfur-containing product includes carbon and hydrogen atoms (Column 11, lines 20-22).

Zimmermann teaches the use of several silicon compounds (Column 3, lines 30-35) but does not mention about hexamethyl disiloxane. Reed discloses that out of suitable organic silicon compounds namely: silanes, silicates, and siloxanes, at present hexamethyldisiloxane is preferred (Column 4, lines 61-62).

It would have been obvious to one skilled in the art at the time the invention was made to combine Zimmermann's teachings with Reed's inventions and thereby using hexamethyldisiloxane as a silyl compound.

Zimmermann teaches that silicon- and sulfur-containing product is selected from (1) one or more silicon and sulfur containing volatile compounds, (2) a mixture of silicon containing volatile compounds and a mixture of sulfur containing volatile compounds, and (3) a mixture of silicon-and sulfur-containing volatile compounds (Column 3, lines 30-35). The atomic ratio of silicon to sulfur is 5:1 to 1:1 (Column 3, line 37). Particularly advantageous compounds are trimethylsilyl mercaptan, dimethyl sulfide, dimethyl disulfide, and bis(trimethylsilyl) sulfide and mixtures thereof (Column 3, lines 37-40). Zimmermann discloses that the molar ratio of silicon-and sulfur-containing compounds to the inert gas is between 0.001 and 0.01 (Column 10, lines 60-61). Zimmermann teaches that the pressure of the mixture sent through the system can correspond to the

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usual pressures in the cracking furnace system, e. g. 0.5 to 20 bar, preferably in a range of 1 to 2 bar (Column 4, lines 60-63).

Zimmermann reference teaches that atomic ratio of silicon-and sulfur-containing product is between 5:1 and 1:1 (Column 10, lines 56-57). Zimmermann also discloses that the molar ratio of silicon-and sulfur-containing compounds to the inert gas is between 0.001 and 0.01 (Column 10, lines 60-61). Zimmermann further teaches that the pressure of the mixture sent through the system can correspond to the usual pressures in a cracking furnace system, e.g., 0.5 to 20 bar, preferably in a range of 1 to 2 bar (Column 4, lines 61-63).

Claims 13-18 and 22-24 are rejected as unpatentable over Zimmermann in view of Reed and further in view of Zimmermann et al (US Patent 5,849,176) and Kukes et al (US Patent 4,410,418). Zimmermann's Figure 3 shows the influence of 85 ppm dimethyl disulfide as an addition to n-heptane on the rate of coke formation (Column 5, lines 16-18). Zimmermann has not added a silyl compound in the feedstock of the organic compound to be cracked. Zimmermann (5,849,176) discloses a process for producing thermally cracked products from hydrocarbons while simultaneously, reducing the coke deposits on the heat exchange surfaces by adding to the feed to be cracked, before the cracking temperature is reached, 20 to 1000 ppm of an additive composition that is selected from among one or more volatile organic compounds containing silicon and sulfur (Column 2, lines 27-33).

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It would have been obvious to one skilled in the art at the time the invention was made to combine Zimmermann's teachings with Zimmermann (5,849,176) invention because it has proved advantageous to treat the hydrocarbons to be thermally cracked, before the actual cracking temperature is reached, with an additive that consists either of a volatile compound containing silicon and sulfur in the atomic ratio 5:1 to 1:1 or of a mixture of a compound containing silicon and a compound containing sulfur in the same atomic ratio (Zimmermann- 5,849,176: Column 3, lines 45-51).

Although Zimmermann does not mention about using hexamethyldisiloxane, Zimmermann (5,849,176) discloses that the compound containing silicon and/or sulfur is preferably selected from the group that consists of trimethyl silyl mercaptan, dimethyl sulfide, tetra methyl silane and bis trimethyl silyl sulfide and their mixtures. However, other volatile compounds can also be used (Column 2, lines 65-67; Column 3, lines 1-2). Kukes discloses a method for reducing the formation of coke on the metals which are contacted with a gaseous stream containing hydrocarbons in a thermal cracking process by contacting said metals with a halogen containing silicon compound from the group consisting of halogen containing silanes, disilanes, and siloxanes (Column 8, lines 5-13).

It would have been obvious to one skilled in the art at the time the invention was made to combine Zimmermann's teachings with Zimmermann (5,849,176) and Kukes inventions because the use of hexamethyl disiloxane is preferred over other silicon compounds.

Zimmermann's Figure 3 shows the influence of adding dimethyl disulfide to the cracking stock (n-heptane) on the rate of coke formation. Although Zimmerman does not show the effect of adding silicon to the cracking stock, Zimmermann (5,849,176) discloses to treat the hydrocarbons to be cracked before the actual cracking temperature is reached, with an additive that consists silicon and sulfur in the atomic ratio 5:1 to 1:1 (Column 3, lines 45-50). In his laboratory experiment of Example 10, PEP 5, Zimmermann (5,849,176) mentions that 100 ppm of an equimolar mixture of tetra-methyl-silane and dimethyl sulfide in n-heptane are taken for cracking reactions (Column 7, lines 27-28).

It would have been obvious to one skilled in the art at the time the invention was made to have modified the process of Zimmermann reference by including silicon with sulfur as suggested by Zimmermann (5,849,176) because the use of silicon and sulfur is preferred for reduction of coking.

Although Zimmermann uses only sulfur (dimethyl disulfide) in the n-heptane cracking stock (Column 5, lines 16-17), Zimmermann (5,849,176) teaches the use of silicon and sulfur in the atomic ratio 5:1 to 1:1 (Column 3, lines 45-50) and mentions that 100 ppm of an equimolar mixture of tetra-methyl-silane and dimethyl sulfide in n-heptane are taken for cracking reactions (Column 7, lines 27-28).

It would have been obvious to one skilled in the art at the time the invention was made to combine Zimmermann's invention with Zimmermann (5,849,176) and Kukes to obtain the desired concentration and ratio of silicon and sulfur.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

Tong, Y., Poindexter, S. L., and Rowe, C. T., US Patent 5,733,438

Brown, R. E., Sasaki, K. J., and Reed, L. E., US Patent 5,284,994

Brown, R. E., Reed, L. E., Greenwood, G. J., Harper, T. P., and Sharre, M. D.,
US Patent 5,565,087

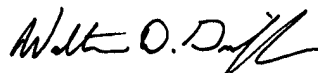
Brown, R. E., , Reed, L. E., Greenwood, G. J., Harper, T. P., and Sharre, M. D.,
US Patent 5,616,236.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Prem C. Singh whose telephone number is 571-272-6381. The examiner can normally be reached on MF 6:30-3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn Caldarola can be reached on 571-272-1444. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Walter D. Griffin
Primary Examiner